

National Pension System

Request for Scheme preference change and/or Switch

(Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

For POP-SP use: POP-SP Registration No. : _____

Receipt No.:

Receipt Date : ___/___/___

PRAN* : Name of the Subscriber* : _____

Scheme Preference change and/or switch: Tier I Tier II

All Citizen Model Corporate Government (only for Tier II)

(i). Name of the PFM (Select only one)*:

PFM Name (in alphabetical order)	Please tick only one (Select only one PFM)
Birla Sun Life Pension Management Limited	<input type="checkbox"/>
HDFC Pension Management Company Ltd.	<input type="checkbox"/>
ICICI Prudential Pension Funds Management Company Limited	<input type="checkbox"/>
Kotak Mahindra Pension Fund Limited	<input type="checkbox"/>
LIC Pension Fund Limited	<input type="checkbox"/>
SBI Pension Funds Private Limited	<input type="checkbox"/>
UTI Retirement Solutions Limited	<input type="checkbox"/>

(Selection of PFM is mandatory both in Active and Auto Choice. In case you do not indicate a choice of PFM, your application form shall be rejected).

(ii). Investment Option

Active Choice <input type="checkbox"/>	Auto Choice <input type="checkbox"/> (If selected then life cycle selection is mandatory)
	Aggressive Life Cycle <input type="checkbox"/> Moderate Life Cycle <input type="checkbox"/> Conservative Life Cycle <input type="checkbox"/>

(iii). Asset Allocation (to be filled up only in case you have selected the 'Active Choice' investment option)

Asset Class	E(Cannot exceed 75%)	C	G	A(Cannot exceed 5%)	Total	Please Note : 1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation. 2. From 51 years and above, maximum permitted Equity Investment will be as per the asset allocation matrix provided in CSRF Annexure IV. The tapering off of equity allocation will be carried out as per the matrix on date of birth.
% share					100%	

Declaration & Authorization

- I have read the disclaimer and understood that the above Scheme Preference requested through this form will be applicable to existing holdings in the subscriber account as well as to the prospective subscriptions and there may be gain/loss due to change in Net Asset Value (NAV) of the schemes
- Declaration under the Prevention of Money Laundering Act, 2002 I hereby declare that:
 - The contribution paid has been derived from legally declared and assessed sources of income.
 - I understand that the PFRDA/NPS Trust has the right to peruse my financial profile and also agree that the PFRDA/NPS Trust has the right to close the NPS account in case I am found guilty of violating the provisions of any Law, directly or indirectly, by any Competent Court of Law, having relation to the laws governing prevention of money laundering in the country.

Subscriber's Signature/ Thumb Impression	For POP/POP-SP use:	POP/POP-SP Stamp:

	Name & Signature	

(Perforation) - Acknowledgement to the Subscriber

Scheme Preference change and/or switch: Tier I Tier II

POP-SP Registration Number: _____ PRAN* :

Name of the Subscriber: _____ Date: __/__/____ Time Stamp: _____

Receipt Number
(To be provided by POP/POP-SP)

1. POP Transaction Charges: Rs. 2. Service Tax (as applicable): Rs.

3. Total Charges: Rs.

Signature/Stamp of POP/POP-SP

Instructions for filling the Form:

- All fields mark with (*) are mandatory. All Dates should be in DDMMYYYY Format.
- The Subscribers shall submit the application to POP-SP through which they have registered with CRA.
- For more details on terms and conditions of switch, please refer to the Offer Document.
- Separate forms to be submitted for Tier I and Tier II **Subscriber of Government sector (having Tier I account in Government sector) can submit request only for Tier II account.**

Subscriber Scheme Preference and/or switch

Active choice

1. PFM selection is mandatory. The form shall be rejected if a PFM is not opted for.
2. Allocation under Equity (E) cannot exceed 75% and (A) Cannot exceed 5%.
3. A subscriber opting for active choice may select the available asset classes ("E", "G", "C" & "A"). However, the sum of percentage allocation across all the selected asset classes must equal 100. If the sum of percentage allocations is not equal to 100%, or the asset allocation table is left blank, the application shall be rejected.

Auto choice

4. A subscriber opting for Auto Choice must also select a PFM. The application shall be rejected if the subscriber does not indicate his/her choice of PFM.
5. In case of Auto Choice, do not fill up section for Asset Allocation. In case you do, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice.

In case both the investment option and the asset allocation are left blank, the subscriber's funds will be invested as per Auto Choice